

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ralph H. Johnson et al.

Examiner in immediately

preceding parent application: Mondt, Johannes P.

Serial No.: New divisional application

Filed: July 11, 2003

Anticipated Group Art Unit: 2826

For: VERSATILE METHOD AND SYSTEM FOR SINGLE MODE VCSELS

Docket No.: H26341-D2 (1139.1124104)



REQUEST FOR FILING A DIVISIONAL APPLICATION

Mail Stop Patent Application

Assistant Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EV333851437US, in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 11th day of July, 2003.

By

Lynn Thompson
Lynn Thompson

Dear Sir:

This is a request for filing a divisional application, under 37 CFR §1.53(b), of pending prior application Serial No. 09/724,820 filed on November 28, 2000, for an invention entitled VERSATILE METHOD AND SYSTEM FOR SINGLE MODE VCSELS.

1. Enclosed is a copy of the prior application, including the inventor's DECLARATION of Ralph H. Johnson, and Gilberto Morales as originally filed on November 28, 2000.
2. Amend the specification by inserting immediately after the title at page 1, the following new section:

-- Cross Reference to Related Application

This application is a divisional of co-pending U.S. Patent Application Serial No.

09/724,820 filed November 28, 2000. --

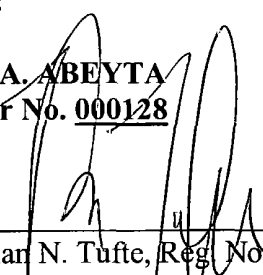
3. The filing fee is calculated below pursuant to the Preliminary Amendment, a copy of which is filed herewith, which cancels claims 1-31 without prejudice after adding newly presented claims 32-71, leaving claims 32-71 currently pending in this application.

CLAIMS AS FILED						
FOR:	(1) No. Filed	(2) No. Extra	SMALL ENTITY		OTHER	
			RATE	FEE	RATE	FEE
BASIC FEE	XXXXX	XXXXX	XXXXX	\$375	XXXXX	\$750
TOTAL CLAIMS	40-20=	20	X 9=	\$	X 18=	\$360
INDEPENDENT CLAIMS	7 - 3=	4	X 42=	\$	X 84=	\$336
() MULTIPLE DEPENDENT CLAIM PRESENTED			+140=	\$	+280=	\$0
TOTAL				\$	XXXXX	\$1446.00

4. Fee Transmittal For FY 2003 authorizing payment in the amount of \$1446.00 (\$750 filing fee) (\$696 claims) to be charged to Honeywell Deposit Account 01-1125.
5. Five (5) sheets of informal drawings are enclosed.
6. I hereby verify that the enclosed papers are a true copy of prior application Serial No. 09/724,820 as filed on November 28, 2000, and further that this statement was made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.
7. **Address all future communications to:**

ANDREW A. ABEYTA
at Customer No. 000128

Dated: July 11, 2002



Brian N. Tufte, Reg. No. 38,638
CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue, Suite 800
Minneapolis, MN 55403-2402
Telephone: (612) 677-9050
Facsimile: (612) 359-9349

FEE TRANSMITTAL For FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT \$1446.00

COMPLETE IF KNOWN

Application No.	New Divisional Application
Filing Date	July 11, 2003
First Named Inventor	Ralph H. Johnson
Group Art Unit	Not Assigned
Examiner Name	Not Assigned
Attorney Docket No.	H26341 D2 (1139.1124104)

17575 U.S. PTO
10/617892
07/11/03

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other

☒ Deposit Account
Deposit
Account
Number

01-1125

Deposit
Account
Name

Honeywell International Inc.

The Commissioner is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayment

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
1001	750	2001	375	Utility Filing Fee	750
1002	330	2002	165	Design Filing Fee	
1003	520	2003	260	Plant Filing Fee	
1004	750	2004	375	Reissue Filing Fee	
1005	160	2005	80	Provisional Filing Fee	
SUBTOTAL (1)					\$750.00

2. EXTRA CLAIM FEES

Total Claims	40	-20 =	20	x	Fee from below	=	Fee Paid
Independent Claims	7	-3 =	4	x	34.00	=	136
Multiple Dependent Claims		-0 =	0	x	230.00	=	0

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
1202	18	2202	9	Claims in excess of 20	
1201	84	2201	42	Independent claims in excess of 3	
1203	280	2203	140	Multiple dependent claim	
1204	84	2204	42	**Reissue Independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					\$698.00

*number previously paid, if greater: see above for Reissues

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,250	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,340*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	630	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,870	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Statement	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
1504	300	1504	300	Publication fee	

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$.00

SUBMITTED BY

Name (Print / Type)

Andrew A. Abeyta

Registration No.
(Attorney/Agent)

39,582

Complete (if applicable)

Telephone

602-313-3345

Signature

Date

July 11, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C., 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC, 20231.